

*Section 8  
3*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shuvranshu Pokharival et al. Art Unit: Unknown  
Serial No.: 09/844,288 Examiner: Unknown  
Filed : April 27, 2001  
Title : SPEECH RECOGNITION FRAMEWORK

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed June 28, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- Payment of the basic filing fee of \$710;
- Payment of the additional/multiple dependent claims fees of \$180;
- Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- Applicant hereby petitions under 37 C.F.R. §1.136 for a 1 month extension of time \$110.
- A Verified Statement Claiming Small Entity Status;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 27, 2001  
Date of Deposit

Signature

Roxanne Ippolito  
Typed or Printed Name of Person Signing  
Certificate

10/04/2001 SDIRETA1 00000097 09844288

01 FC:115

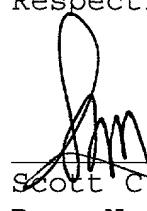
110.00 OP

- A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- Other: Substitute Drawings (5 sheets)

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 9/27/01

  
\_\_\_\_\_  
Scott C. Harris  
Reg. No. 32,030

Fish & Richardson P.C.  
Customer Number: 20985  
4350 La Jolla Village Drive, Suite 500  
San Diego, California 92122  
Telephone: (858) 678-5070  
Facsimile: (858) 678-5099

10136510.doc



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,288	04/27/2001	Shuvranshu Pokhriyal	10559/408001/P10345

20985  
FISH & RICHARDSON, PC  
4350 LA JOLLA VILLAGE DRIVE  
SUITE 500  
SAN DIEGO, CA 92122

## CONFIRMATION NO. 9993

## FORMALITIES LETTER



\*OC00000006236084\*

10/04/2001 SDIRETA1 00000097 061050 09844288  
02 FC:101 740.00 OP  
03 FC:105 130.00 OP  
04 FC:103 30.00 CH 150.00 OP

Date Mailed: 06/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2001 SDIRETA1 00000097 061050 09844288

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 10 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1020.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

inf

---

## Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

卷之三